Express Mail No.: EV 60: 5 6845 US

JC17 Rec 464-06 ftp/Bet 10-gh 361 7 7. DMR 155: 3/31

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TRANSMITTAL LETTER TO THE DESIGNATED/ELECTED OF		THE UNITED STATES	ATTORNEY'S DOCKET NUMBER			
		OFFICE (DO/EO/US)	P139-US-01			
co	NCERNING A SUBMISSIO	ON UNDER 35 U.S.C. 371	U.S. APPLICATION MODIFIED (9), 50 (2 R 1.5)			
	ATIONAL APPLICATION NO. S2003/040880	INTERNATIONAL FILING DATE 22 December 2003	PRIORITY DATE CLAIMED			
	F INVENTION	22 December 2003	20 December 2002			
ľ	ns and Methods of Sample Processin	ng and Temperature Control				
APPLICA	APPLICANT(S) FOR DO/EO/US Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher, Benno Guggenheimer,					
	Robert Clark	k, Michael Barber, and Bob Lathrop	·			
Applica	nt herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:			
1.	This is a FIRST submission of items co	ncerning a submission under 35 U.S.C. 371				
2. $\square$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> s	submission of items concerning a submission	n under 35 U.S.C. 371.			
3.	This is an express request to begin nati (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 37	1(f)). The submission must include items			
4.	The US has been elected (Article 31).					
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))				
	a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).			
	b. has been communicated by	the International Bureau.				
_	c. is not required, as the applic	cation was filed in the United States Receivi	ng Office (RO/US).			
6.	An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).			
	a. is attached hereto.					
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
	a. are attached hereto (requi	red only if not communicated by the Internat	tional Bureau).			
	b. have been communicated	by the International Bureau.				
	c. have not been made; how	ever, the time limit for making such amendm	nents has NOT expired.			
	d. have not been made and v	vill not be made.				
8.	An English language translation of th	e amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).			
9. 🔽	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).				
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E.	xamination Report under PCT			
item	s 11 to 20 below concern document(s	) or information included:				
11. 🗹	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.				
12.	An assignment document for recording	g. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.			
13.	A preliminary amendment.		,			
14.	An Application Data Sheet under 37 (	CFR 1.76.	·			
15.	A substitute specification.					
<sup>*</sup> 16. 🗹	A power of attorney and/or change of	address letter.				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: a firm letter of transmittal; a Certificate of Express Mailing for each document; a return postcard

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

20.

Page 1 of 2

JC17 Rec'd PCT/PTO

JC17 Rec'd PCT/P10 L J JOTY 2003

FTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATIO	NO (if-known	06年37年1.5	) INTERNATIONAL AI	ERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUM		KET NUMBER	
U.S. APPLICATION NO. (IL-known 565 37 CF 21.5)			PCT/US2003/04088	PCT/US2003/040880		P139-US-01	
The follow	ing fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
21. 🗹 Basic r	ational fee			\$300	\$ 300.00		
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00		
23. Search Search fee (37 CFF International International Search All other situations	1.445(a)(2)) ha Searching Aut Report prepar	\$ 100.00					
. то	TAL OF 21, 22	\$ 600.00					
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			x \$250	<b>\$</b> 0.00		
Surcharge of \$130.0 claimed priority date	00 for furnishing (37 CFR 1.492	the oath or declar 2(h)).	aration later than 30 months f	rom the earliest	\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		54 - 20 =	34	x \$50	\$ 1,700.00		
Independent claims		2 -3=	0	× \$200	\$ 0.00		
MULTIPLE DEPEN	DENT CLAIM(S	(if applicable)		+ \$360	\$ 0.00		
			TOTAL OF ABOVE	CALCULATIONS =	\$ 2,300.00		
Applicant claim	s small entity st	atus. See 37 CFF	R 1.27. Fees above are reduc	ced by 1/2.			
				SUBTOTAL =	\$ 2,300.00		
Processing fee of \$ claimed priority date			translation later than 30 mon	ths from the earliest +	\$		
			TOTAL	NATIONAL FEE =	\$ 2,300.00		
Fee for recording the by an appropriate co			1.21(h)). The assignment mu \$40.00 per property	st be accompanied +	\$ 0.00		
			TOTAL F	EES ENCLOSED =	\$ 2,300.00		
					Amount to be refunded:	\$	
·					Amount to be charged:	\$	
a. A check in	the amount of	\$ 2,300.00	to cover the abo	ove fees is enclosed.			
b. Please ch A duplicat	arge my Depos e copy of this s	it Account No heet is enclosed.	in the amount of	\$ to co	ver the above fees.		
c. The Comm	issioner is here	eby authorized to	charge any additional fees wl	nich may be required,	or credit any overpayment	to Deposit	
d. Fees are t	be charged to	a credit card. W	ARNING: Information on this	form may become pub	lic. Credit card informati	on should not	
NOTE: Where an a	ppropriate time	e limit under 37 (	ard information and authoriza		(37 CFR 1.137(a) or (b))	must be filed	
		• •	on to pending status.	14			
SEND ALL CORRE		IO:		YUlde ()	Renue, gr	ine 17,200	
Nicole A. Ressue 125 South Howe		, 0	· ·				
Fort Collins, CO	•	ssue					
(070) 224 2100					No · 48 665		
(970) 224-3175 - Facsimile							
REGISTRATION NUMBER							

Express Mail No: EV 603556845 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

Filed:

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

Benno Guggenheimer, Robert Clark, Michael Barber, and Bob

Lathrop

Title:

Systems and Methods of Sample Processing and Temperature Control

International Application No: PCT/US2003/040880, Filed 22 December 2003

Original US Applications:

60/435,601, Filed 20 December 2002

TC/A.U:

Examiner:

Assignee:

DakoCytomation Denmark A/S

Attorney Docket:

P139 US 01

Customer No.

33549

#### LETTER OF TRANSMITTAL

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

Enclosed for filing is an application for patent entitled "Systems and Methods of Sample Processing and Temperature Control" including the following documents:

- 1. Application Data Sheet;
- 2. Preliminary Amendment;
- 3. a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (FORM PTO-1390 (02/2005));
- 4. a true and correct copy of the Declaration for Patent Application as executed in the international application, along with a cover sheet;

### JC17 Rec'd PCT/PTO 17 JUN 2005

- 5. Power of Attorney;
- 6. Information Disclosure Statement along with Foreign patent or patent publication references and Other references in accordance with the Office's waiver of the requirement under Rule 1.98(a)(2)(ii);
- 7. this Letter of Transmittal along with a Check payable to the Director of the Patent and Trademark Office in the amount of \$2,300.00; and
- 8. Express Mail Certificates for each document and a return postcard.

Please confirm receipt of the documents by applying your date stamp and serial number on the enclosed postcard and returning it to me.

Please address all future correspondence to: Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, CO 80521.

I have this day of June, 2005, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this | 1 day of June, 2005.

Respectfully Submitted, SANTANGELO LAW OFFICES, P.C.

By: Millow O. Pinu

Nicole A. Ressue

Attorney for Assignee

PTO No. 48,665

125 South Howes, Third Floor

Fort Collins, CO 80521

(970) 224-3100

10/539562 JC17 Rec'd PCT/PTO 17 JUN 2005

Express Mail No: EV 603556845 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

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Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

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Lathrop

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TC/A.U:

Examiner:

Assignee:

DakoCytomation Denmark A/S

Attorney Docket:

P139 US 01

Customer No.

33549

#### **CERTIFICATE OF EXPRESS MAILING**

- I, Cheryl A. Swanson, hereby certify to the truth of the following items:
- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (FORM PTO-1390 (REV 02-2005)) with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

Chery G. Sevanson
Cheryl A. Swanson

JC17 Rec'd PCT/PTO 1 7 JUN 2005 Express Mail No: EV 603556845 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PP		

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Applicants:

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Lathrop

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- 2. I have this day deposited the attached Letter of Transmittal along with a check in the amount of \$2,300.00 for National Phase filing fee and a return postcard with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 11 day of June, 2005.

### JC17 Rec'd PCT/PTO 1 7JUN 2005

Express Mail No: EV 603556845 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

Filed:

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

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Attorney Docket:

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- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Application Data Sheet with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

## JC17 Rec'd PCT/PTO 178 JUN 2005

Express Mail No: EV 603556845 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

Filed:

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

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- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Preliminary Amendment with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

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Attorney Docket:

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#### **COVER SHEET\***

#### **FOR**

#### A TRUE AND CORRECT COPY OF THE

#### **DECLARATION FOR PATENT APPLICATION**

#### AS EXECUTED IN THE INTERNATIONAL APPLICATION

\* This Cover Sheet is provided for the convenience of Patent Office personnel, to indicate the new caption and title and thus minimize the risk of loss in the event documents are separated from the file.

### JC17 Rec'd PCT/PTO 17 JUN 2005

Express Mail No: EV 603556845 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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- 2. I have this day deposited the attached Declaration of Inventorship along with a cover sheet with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

Cheryla-Swanson
Cheryl A. Swanson

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IN THE UNITED STATES PATENT AND		
TRADEMARK OFFICE		

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Dated this 17 day of June, 2005.